

3609



PATENT
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit:
Maximilian A. Biberger et al.) Examiner:
Serial No.: 09/704,641) <u>TRANSMITTAL LETTER</u>
Filed: November 1, 2000) 260 Sheridan Avenue, Suite 420
For: METHOD AND APPARATUS FOR) Palo Alto, California 94306
SUPERCritical PROCESSING) (650)833-0160
OF A WORKPIECE)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:
Enclosed please find a Supplemental Information Disclosure Statement, Form PTO-1449 (including copies of the references contained thereon), and Certification under 37 C.F.R. §1.97(e) for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: *Thomas B. Haverstock*
Thomas B. Haverstock
Reg. No.: 32,571
Attorneys for Applicants

POSTAGE AND MAILING (37 C.F.R. § 1.8(a))
I hereby certify that this paper, along with any
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HAVERSTOCK & OWENS LLP
4/24/01 *~ Cynthia E. Haverstock*



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SSI-00700

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In re Application of:

Maximilian A. Biberger, et al.

Serial No.: 09/704,641

Filed: November 1, 2000

For: **METHOD AND APPARATUS FOR
SUPERCRITICAL PROCESSING
OF A WORKPIECE**

) Group Art Unit:
) Examiner:

) **CERTIFICATION FOR
SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT
UNDER 37 CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420
) Palo Alto, California 94306
) (650) 833-0160

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached seven (4) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on February 1, 2001. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

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Attorney Docket No.: PATENT
SSI-00700

Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

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Enclosed for the Patent Office are two copies of this paper, along with a copy of the certificate of mailing, which is being submitted to the Patent Office by the United States Postal Service on the day of mailing. The certificate of mailing is being submitted to the Assistant Commissioner of Patents, Washington, D.C. 20530.

HAVERSTOCK & OWENS LLP

4/24/01 *Michael P. Haverstock*

45-7-3-01

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Attorney Docket No.: SSI-00700

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)	
Serial No.: 09/704,641)	<u>SUPPLEMENTAL INFORMATION</u>
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For: METHOD AND APPARATUS FOR)	260 Sheridan Avenue, Suite 420
SUPERCRITICAL PROCESSING)	Palo Alto, California 94306
OF A WORKPIECE)	(650)833-0160
)	

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,866,005;
- European Publication No. 0 836 895 A2;
- PCT Publication No. WO 99/49998; and
- "A Comparison of Chilled DI Water/Ozone and Co2-Based Supercritical Fluids as Replacements for Photoresist-Stripping Solvents" by J.B. Rubin et al., Physical Organic Chemistry Group (CST-12) Chemical Science & Technology Division Los Alamos National Laboratory, Los Alamos, New Mexico.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-23-01

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicants

Not a copy of the original
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HAVERSTOCK & OWENS LLP